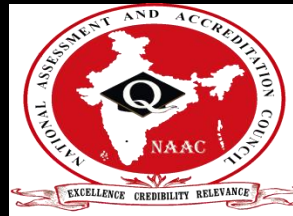


# NAAC's Assessment & Accreditation Process – An Overview



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**National Assessment and Accreditation Council**  
**(NAAC), Bengaluru**

# About NAAC



MISSION

Rational

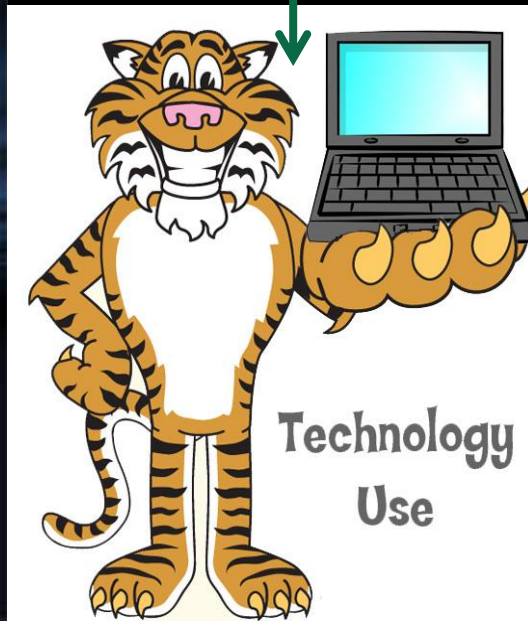




# NATIONAL DEVELOPMENT



Core values



# Interrelated concepts

The motto of any HEIs can only be possible if both A & A work in coordination for its stakeholders



- ▶ In order to have a quality oriented academic, there should be a strong administrative background.

# *Components of NAAC for HEIs*



1. *Q*
2. *V*
3. *A*
4. *L*
5. *I*
6. *T*
7. *Y*

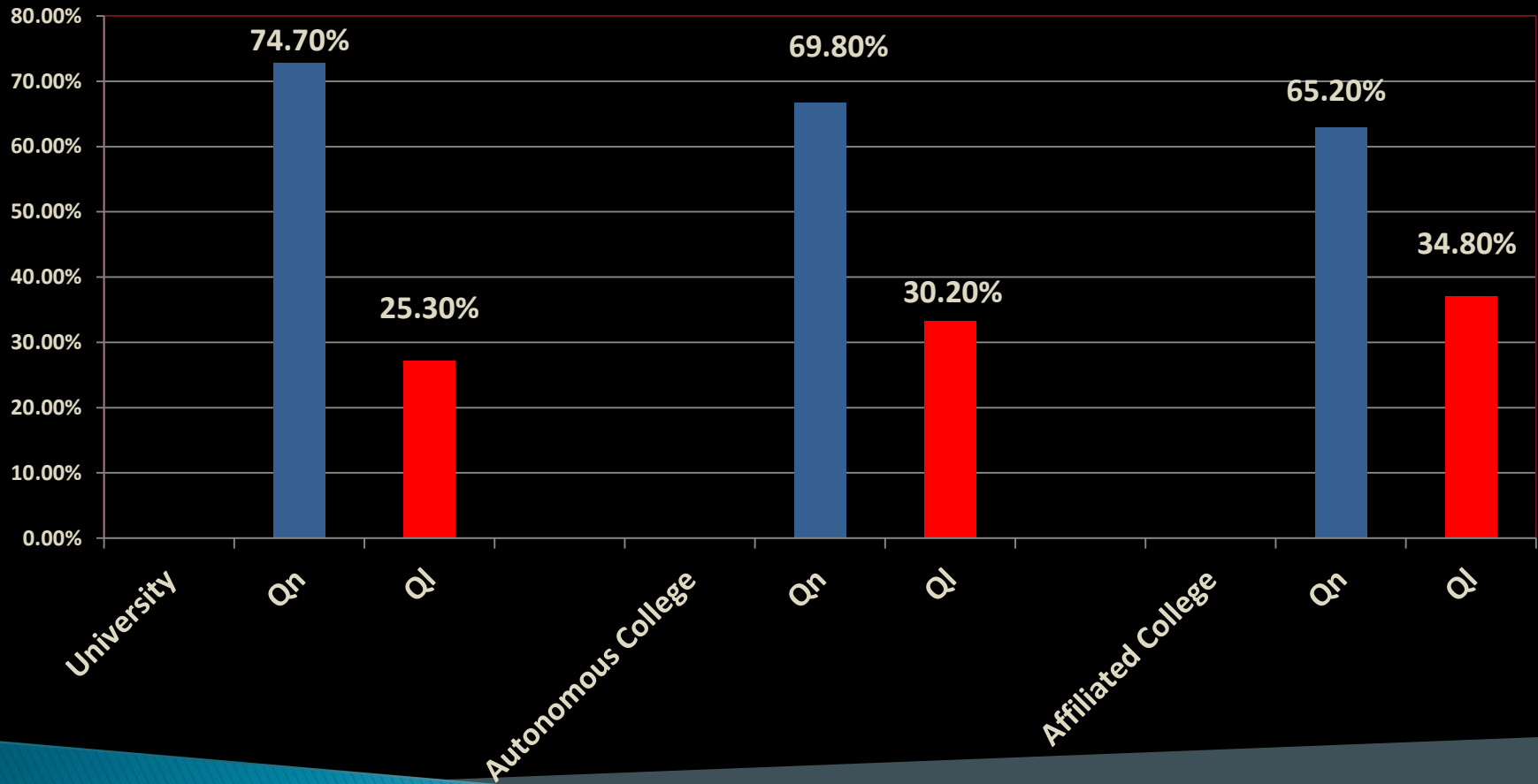
**Seven steps  
to Quality**  
*@*  
**Seven Criteria**



# QIF Statistics

Type of HEIs	Universities	Autonomous Colleges	Affiliated Colleges
<b>Criteria</b>	<b>7</b>	<b>7</b>	<b>7</b>
<b>Key Indicators</b>	<b>34</b>	<b>34</b>	<b>32</b>
<b>Qualitative Metrics (QIM)</b>	<b>38</b>	<b>38</b>	<b>41</b>
<b>Quantitative Metrics (QnM)</b>	<b>99</b>	<b>98</b>	<b>80</b>
<b>Total Metrics (QIM + QnM)</b>	<b>137</b>	<b>136</b>	<b>121</b>

# Weightage of Q1 & Qn Metrics on QIF



Total Weightage - 1000



IF YOU DON'T  
STUDY, YOU'LL END  
UP LIKE HIM.



IF YOU STUDY  
WELL YOU'LL  
BE ABLE TO  
BUILD A BETTER  
WORLD FOR  
HIM.



# Criteria and Key Indicators with its Weightages

Criteria	Key Indicators	Universities	Autonomous Colleges	Affiliated / Constituent Colleges
<b>1. Curricular Aspects</b>	1.1 <b>*(U)</b> Curriculum Design and Development	50	50	NA
	1.1. <b>*(A)</b> Curricular Planning and Implementation	NA	NA	20
	1.2 Academic Flexibility	50	40	30
	1.3 Curriculum Enrichment	30	40	30
	1.4 Feedback System	20	20	20
	<b>Total</b>	<b>150</b>	<b>150</b>	<b>100</b>
<b>2. Teaching-Learning and Evaluation</b>	2.1 Student Enrolment and Profile	10	20	30
	2.2 Catering to Student Diversity	20	30	50
	2.3 Teaching-Learning Process	20	50	50
	2.4 Teacher Profile and Quality	50	60	80
	2.5 Evaluation Process and Reforms	40	40	50
	2.6 Student Performance and Learning Outcomes	30	50	40
	2.7 Student satisfaction Survey	30	50	50
	<b>Total</b>	<b>200</b>	<b>300</b>	<b>350</b>

Criteria	Key Indicators	Universities	Autonomous Colleges	Affiliated / Constituent Colleges
<b>3. Research, Innovations and Extension</b>	3.1 Promotion of Research and Facilities	20	20	NA
	3.2 Resource Mobilization for Research	20	10	10
	3.3 Innovation Ecosystem	30	20	10
	3.4 Research Publications and Awards	100	20	20
	3.5 Consultancy	20	10	NA
	3.6 Extension Activities	40	50	60
	3.7 Collaboration	20	20	20
	<b>Total</b>	<b>250</b>	<b>150</b>	<b>120</b>
<b>4. Infrastructure and Learning Resources</b>	4.1 Physical Facilities	30	30	30
	4.2 Library as a Learning Resource	20	20	20
	4.3 IT Infrastructure	30	30	30
	4.4 Maintenance of Campus Infrastructure	20	20	20
	<b>Total</b>	<b>100</b>	<b>100</b>	<b>100</b>
<b>5. Student Support and Progression</b>	5.1 Student Support	30	30	50
	5.2 Student Progression	40	30	45
	5.3 Student Participation and Activities	20	30	25
	5.4 Alumni Engagement	10	10	10
	<b>Total</b>	<b>100</b>	<b>100</b>	<b>130</b>



Criteria	Key Indicators	Universities	Autonomous Colleges	Affiliated / Constituent Colleges
<b>6. Governance, Leadership and Management</b>	6.1 Institutional Vision and Leadership	10	10	10
	6.2 Strategy Development and Deployment	10	10	10
	6.3 Faculty Empowerment Strategies	30	30	30
	6.4 Financial Management and Resource Mobilization	20	20	20
	6.5 Internal Quality Assurance System	30	30	30
	<b>Total</b>	<b>100</b>	<b>100</b>	<b>100</b>
<b>7. Institutional Values and Best Practices</b>	7.1 Institutional Values and Social Responsibilities	50	50	50
	7.2 Best Practices	30	30	30
	7.3 Institutional Distinctiveness	20	20	20
	<b>Total</b>	<b>100</b>	<b>100</b>	<b>100</b>

# The highlights of present QIF is as follows:-

Key Indicators	Universities	Autonomous Colleges	Affiliated Colleges
<b>2.7 - Student satisfaction Survey</b>	30	50	50
<b>3.3 - Innovation Ecosystem</b>	30	20	10
<b>5.4 - Alumni Engagement</b>	10	10	10
<b>7.1 - Institutional Values and Social Responsibilities</b>	50	50	50
<b>7.2 - Best Practices</b>	30	30	30
<b>7.3 - Institutional Distinctiveness</b>	20	20	20



# Student Satisfaction Survey (SSS)

- ✓ Institutions will have to submit the database of minimum 60% of its students.
- ✓ SSR cannot be uploaded unless SSS database is submitted.
- ✓ The SSS questionnaire will be mailed to all students.
- ✓ Responses should be received from at least 10% of the student population or 100.
- ✓ If the response rate is lower than the limits, the metric will not be taken up for evaluation.
- ✓ SSS will happen simultaneously with DVV process.





# The highlights of present QIF is as follows:-

Key Indicators	Universities	Autonomous Colleges	Affiliated Colleges
2.7 - Student satisfaction Survey	30	50	50
3.3 - Innovation Ecosystem	30	20	10
5.4 - Alumni Engagement	10	10	10
7.1 - Institutional Values and Social Responsibilities	50	50	50
7.2 - Best Practices	30	30	30
7.3 - Institutional Distinctiveness	20	20	20

# **Criterion 7 – Institutional Values and Best Practices (100)**

## **Key Indicator 7.1 : Institutional Values & Social Responsibilities (50)**

**7.1.1 Gender Equity (10)**

**7.1.2 Environmental Consciousness and Sustainability (10)**

**7.1.3 Differently abled (Divyangjan) friendliness (10)**

**7.1.4 Inclusion and Situatedness (10)**

**7.1.5 Human Values and Professional Ethics (10)**

## **Key Indicator 7.2 : - Best Practices (30)**

## **Key Indicator 7.3 : - Institutional Distinctiveness (20)**

# *Process of A&A*

**Eligible HEIs seeking A&A are required to submit Institutional Information for Quality Assessment (IIQA) online any time during the year**





# Requirement for IIQA

- 1) AISHE Certificate (Name of HEI should be same as of in other documents)
- 2) University affiliation letter for the current academic year for all programmes offered at the Institution.
- 3) Undertaking for genuine IIQA data.
- 4) Self Declaration regarding Programmes/Courses. (P/C mentioned in IIQA, Affiliation & Self Declaration should be same)
- 5) Online payment of Rs 29500/- (including GST)
- 6) Concerned Statutory Regulatory Authority (SRA) viz., AICTE, PCI, BCI, etc. approval for Current Academic year.
- 7) Website, RTI Link, MOU, AQAR (for 2<sup>nd</sup>, 3<sup>rd</sup>, 4<sup>th</sup> ... Cycle)

- ❖ Autonomous status for current year (if applicable)
- ❖ 2(f) & 12(b) certificate (if applicable).
- ❖ UGC status conferring the degree awarding status (for Universities only).
- ❖ If 12(b) then recent grant received (for HEIs with 12(b) status only).



# IIQA

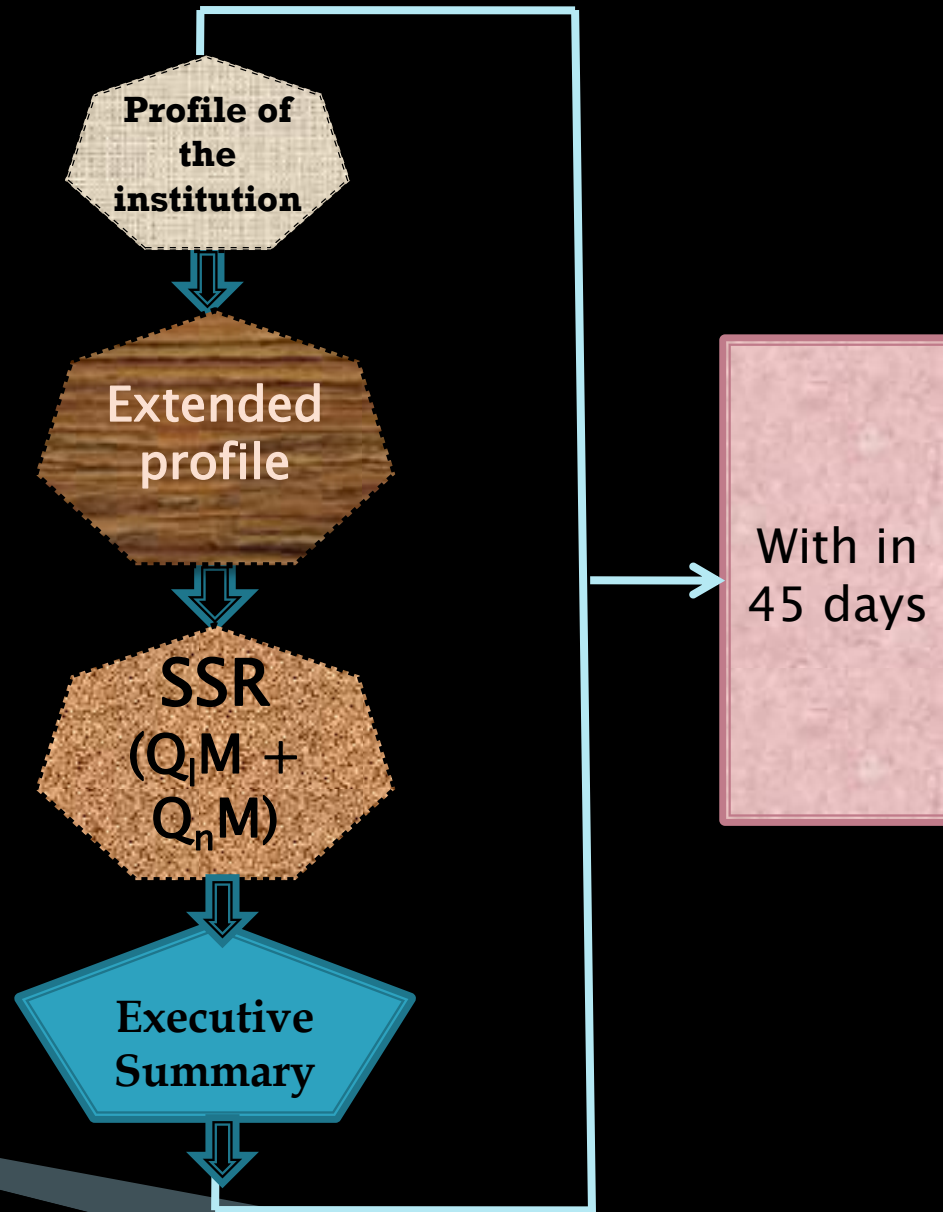
Institution will be informed within 15 days

Accepted

Rejected

Two more consecutive times with the same fees in a year

# After acceptance of IIQA



# Optional Metrics

- ▶ In these diversified education system, there can be few metrics which may not be applicable to the HEI's. Thus in order to facilitate the HEI's NAAC has come out with this concept of Non Applicable Metrics.
- ▶ Thus the provision is made for the HEI's to opt out some of the metrics which may not be applicable to them for various reasons.

# Rules for non applicable metrics

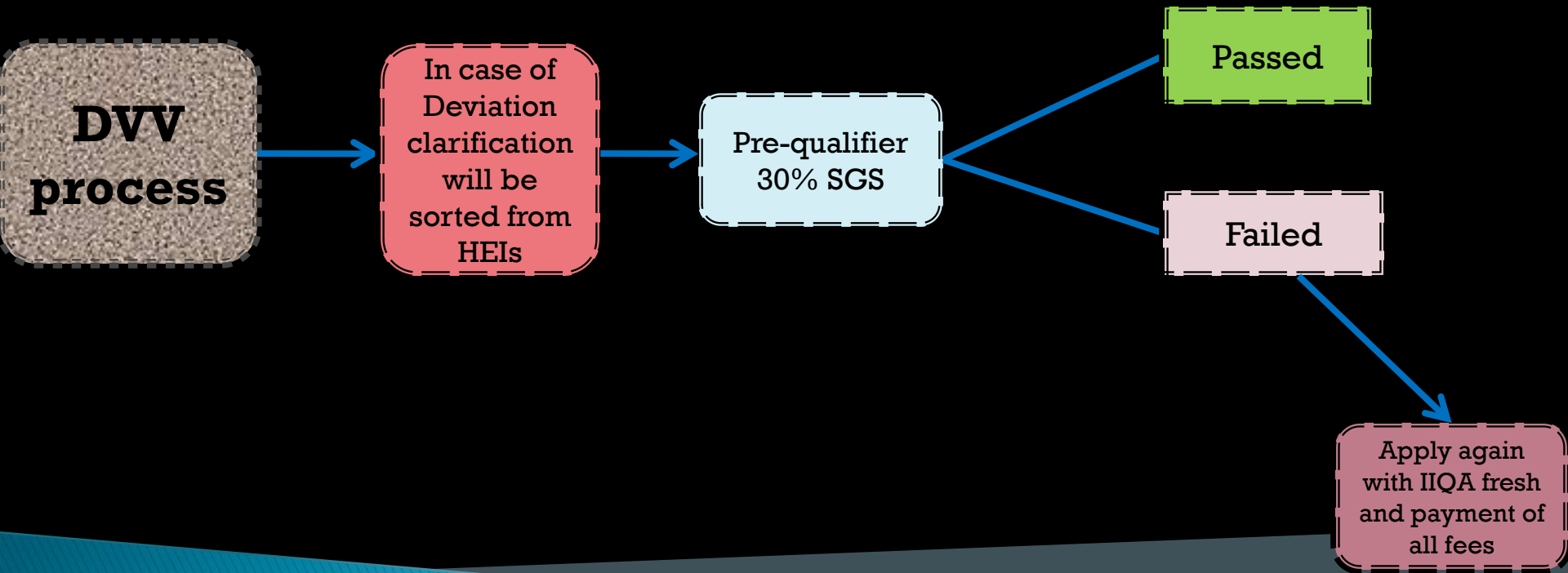
- ▶ Maximum weightage of metrics that can be opted out shouldn't exceed 50 (up to 5%).
- ▶ Metrics with maximum of total 20 weightage per criteria can be opted out.
- ▶ All metrics in Criterion 7 are essential. No metrics can be opted out.
- ▶ Metrics identified as essential cannot be opted out (list of essential metrics are stated in Appendices 3, 4 & 5).
- ▶ Qualitative metrics cannot be opted out.



**QnM of SSR will be  
sent for DVV  
process, at the  
same time SSS will  
also proceed**



# DVV Process



\*\*SSS will happen simultaneously with DVV process



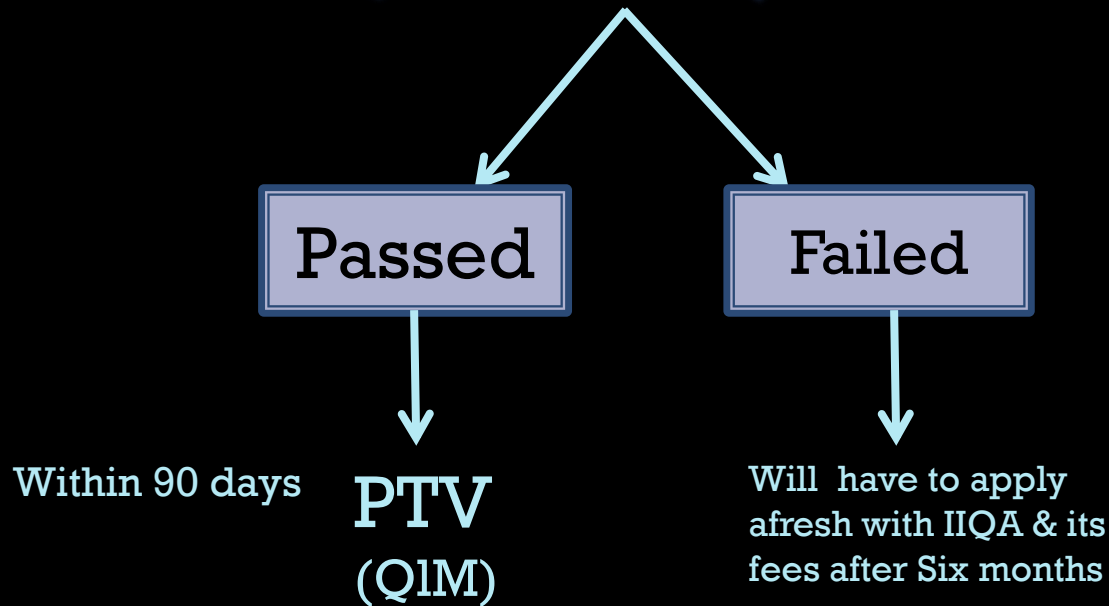
# **Standard Operating Procedure for DVV**

- ▶ 1. The NAAC Portal supports only **5MB data for each metric. If the attachments exceed 5MB**, host the supporting documents in the HEI's website and **provide the link of the same in the template** and/or in the HEI-DVV clarification space.
- ▶ 2. Data should not be hosted on **Google drive and on any third party websites.**
- ▶ 3. **For supporting documents hyper link should be separately given.**
- ▶ 4. While providing links, the institution should ensure that the **links are working properly and are operational.**
- ▶ 5. **In case the links do not work during DVV clarification**, the decision of NAAC will be final.
- ▶ 6. Once the data is uploaded and the links are submitted to NAAC changes/ **additions are not permissible.**

# Standard Operating Procedure for DVV

- ▶ 7. **Mandatory to fill the templates in format provided by NAAC** and attach the same in SSR.
- ▶ 8. It is mandatory to **respond to all the DVV clarifications raised** for the items in the extended profile and metrics within the stipulated time.
- ▶ 9. Content of the **supporting documents in regional languages should be translated in English** and should be duly signed by the head of the institution.
- ▶ 10. Data/documents sought are **given in response to extended profile** they need **not be resubmitted** under those specific Quantitative Metrics (QnM)
- ▶ 11. Standard Operating Procedure for Data Validation and Verification is given in the NAAC Website in Online Tab.

# Pre – Qualifier (30% QnM - SGS)



**Fees:-** For mono faculty 50% of Rs. 147500 = Rs. 73750 (including GST)  
For multi faculty 50% of Rs. 218300 = Rs. 109150 (including GST)

**PTV Fees:** - 177000/- (including GST)



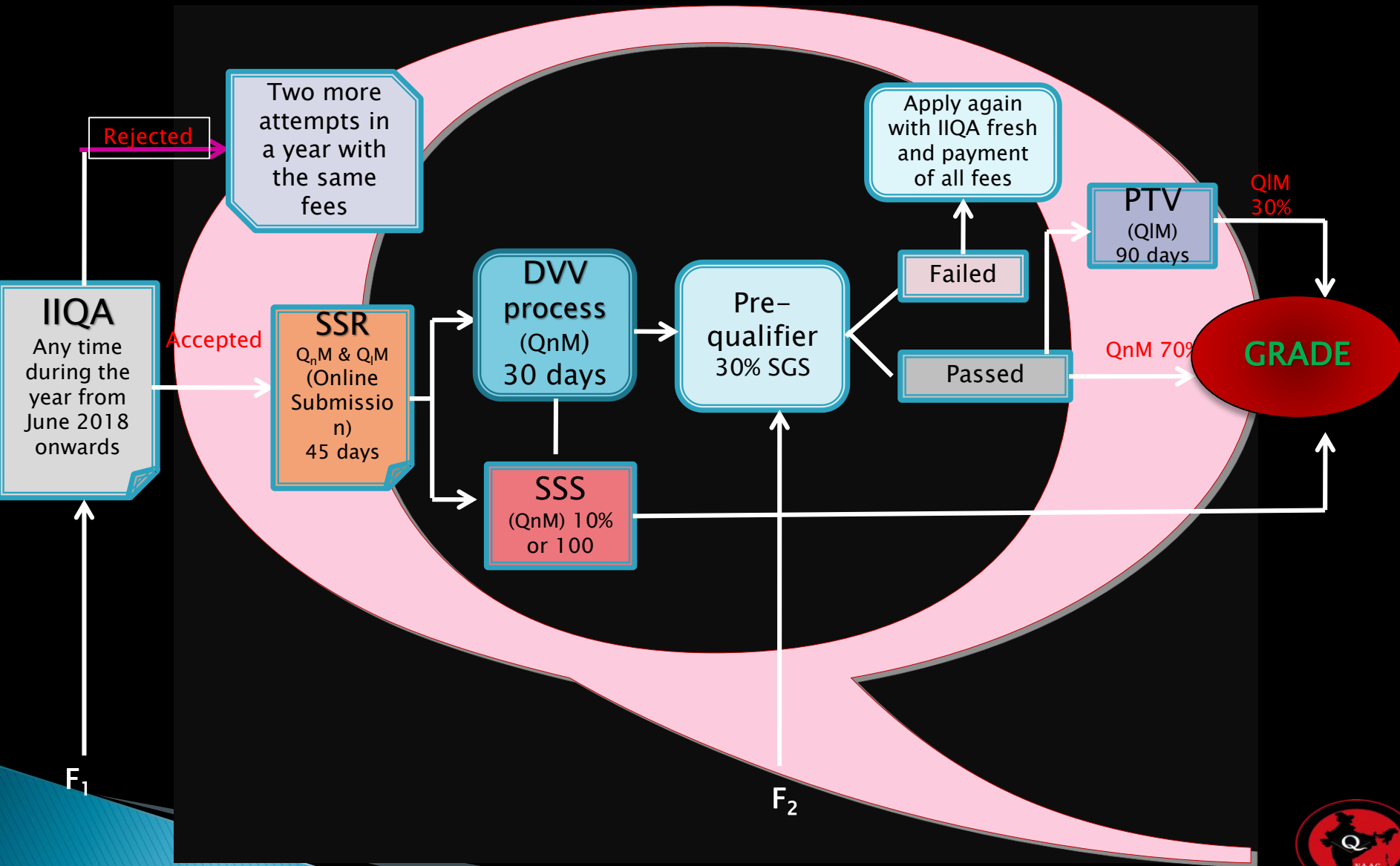


# Mandatory Disclosure On HEI's Website

It is suggested to create a separate NAAC tab/link on Higher Educational Institution's (HEI's) website and upload following documents till the validity period of Accreditation is over:

- a) SSR submitted online, to be uploaded after DVV process only (.pdf format).
- b) Data templates which are uploaded along with SSR (in password protected mode, if needed).
- c) Annual Quality Assurance Report (AQAR – Year wise)
- d) Accreditation outcome document viz., Certificate, Grade sheet, etc.
- e) The Higher Educational Institution's (HEI's) may suitably design their NAAC tab/link to accommodate all relevant documents.

# Process of Assessment and Accreditation



# Institutional Grades And Accreditation Status

Range of Institutional Cumulative Grade Point Average (CGPA)	Letter Grade	Status
3.51-4.00	A++	Accredited
3.26-3.50	A+	Accredited
3.01-3.25	A	Accredited
2.76-3.00	B++	Accredited
2.51-2.75	B+	Accredited
2.01-2.50	B	Accredited
1.51-2.00	C	Accredited
$\leq 1.50$	D	Not Accredited

An Opportunity  
is like a  
biscuit dipped  
in tea...  
A little delay  
& it's gone!!!



# Institutions accredited under RAF

(as on 15-11-19)

Grade	Number of Universities	Number of Colleges	Total
A++	4	8	12
A+	10	50	60
A	8	117	125
B++	6	195	201
B+	14	233	247
B	16	449	465
C	9	250	259
D	0	23	23
<b>Total</b>	<b>67</b>	<b>1325</b>	<b>1392</b>

Around 13238 number of Accreditations, 12636 Colleges and 602 Universities. 8444 HEIs have been accredited first time with 360 Universities and 8084 Colleges



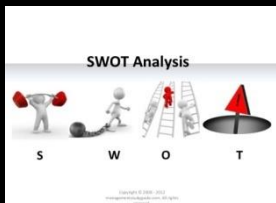
# A&A Position of Central Region

No of Universities	Accredited (%)	Non-Accredited (%)
125	50 (40%)	75 (60%)
Number of Universities which has Affiliated College	Accredited (%)	Non-Accredited (%)
43	23 (53.48%)	20 (46.52%)

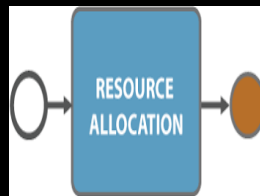
# Expectation – Universities

- ▶ Universities not gone for A&A process should plan at the earliest as per MHRD (we are to help)
- ▶ Colleges affiliated to Universities should be encouraged to proceed with A&A process
- ▶ Awareness Programme (AP) may be organised to motivate them considering the group of college or cluster of college
- ▶ AP for academic as well as administrative staff for each college.
- ▶ Handholding with the help of good Accredited HEIs
- ▶ Best practices in terms of quality assurance adopted by your Institutions should be shared

# NAAC facilitates : the Institution



**Strength, weakness & Opportunity**



**Areas of planning & resource allocation**



**New sense of direction**



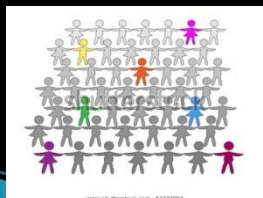
**Pedagogy**



**Collegiality**



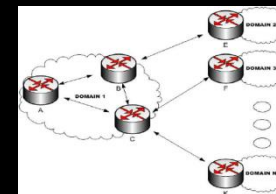
**Funding agency**



**Society**



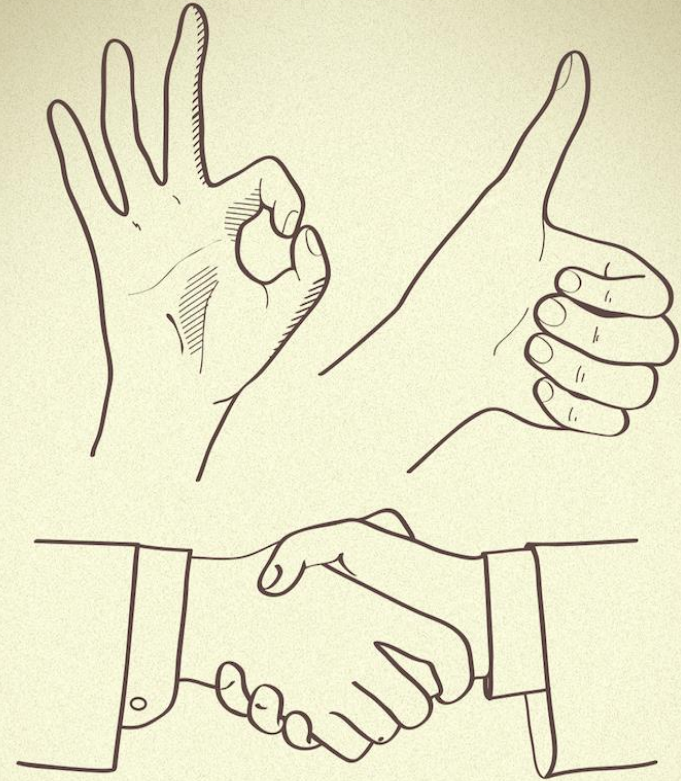
**Employer**



**Intra and inter institutional interaction**

# To achieve the fruits of NAAC

Every staff member (whether it is teaching or non teaching) should involve in Quality upgradation.



COMMITMENT  
MATTERS







## *Tuning up to Stakeholder Expectations*





